



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Srinivas Gutta, Kaushal Kurapati and David Schaffer
Serial No. : To Be Assigned
Filed : Concurrently Herewith
For : **METHODS AND APPARATUS FOR GENERATING
RECOMMENDATION SCORES**
Group Art Unit : To Be Assigned
Examiner : To Be Assigned

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Charles Joe Araujo

(Signature of person mailing paper or fee)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, this opportunity is taken to bring the
following U.S. patents to the attention of the Patent and Trademark Office:

1. U.S. Patent No. 5,909,674 issued June 1, 1999 to Schaffer et al.
2. U.S. Patent No. 5,982,891 issued November 9, 1999 to Ginter et al.
3. U.S. Patent No. 5,999,908 issued December 7, 1999 to Abelow

4. U.S. Patent No. 6,044,375 issued March 28, 2000 to Shmueli et al.
5. U.S. Patent No. 6,112,181 issued August 29, 2000 to Shear et al.
6. U.S. Patent No. 6,128,587 issued October 3, 2000 to Sjolander
7. U.S. Patent No. 6,131,089 issued October 10, 2000 to Campbell et al.
8. U.S. Patent No. 6,137,911 issued October 24, 2000 to Zhilyaev
9. U.S. Patent No. 6,138,115 issued October 24, 2000 to Agrawal et al.
10. U.S. Patent No. 6,151,565 issued November 21, 2000 to Lobley et al.
11. U.S. Patent No. 6,161,130 issued December 12, 2000 to Horvitz et al.
12. Battiti, R. et al., "Democracy in Neural Nets: Voting Schemes for Classification", Neural Networks, Vol. 7, No. 4, pp. 691-707 (1994)
13. Cotter, P. et al., "PTV: Intelligent Personalised TV Guides", Seventeenth National Conference on Artificial Intelligence, pp. 957-964, (2000)
14. Kittler, J. et al., "Combining Classifiers", 13th International Conference on Pattern Recognition, Vol. II, Track B, pp. 897-901 (1996)

This Information Disclosure Statement is not a representation that the documents cited herein are considered most pertinent, or that a search has been undertaken, or that any of the cited documents is indeed prior art. The Examiner is invited to undertake an independent search.

Pursuant to Rule 37 C.F.R. 1.97(b), an Information Disclosure Statement shall be considered by the Patent Office if filed before the mailing date of the first Official Action on the merits. Thereafter, an Information Disclosure Statement shall be considered if it is accompanied by the requisite fee set forth in 37 C.F.R. §1.17. As this Information Disclosure Statement is filed before the receipt of a first Official Action on the merits, no fee is believed due.

Applicants respectfully request that the Examiner consider and make of record the documents cited herein. Applicants further request that a copy of the Form PTO-1449, appropriately initialed by the Examiner, be returned to Applicants' attorney.

Respectfully submitted,

WINSTON & STRAWN
Attorneys for Applicants

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Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. US 010098		SERIAL NO. TO BE ASSIGNED	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT S. Gutta et al.			
				FILING DATE		GROUP TO BE ASSIGNED	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,909,674	6/1/99	Schaffer et al.			
	AB	5,982,891	11/9/99	Ginter et al.			
	AC	5,999,908	12/7/99	Abelow			
	AD	6,044,375	3/28/00	Shmueli et al.			
	AE	6,112,181	8/29/00	Shear et al.			
	AF	6,128,587	10/3/00	Sjolander			
	AG	6,131,089	10/10/00	Campbell et al.			
	AH	6,137,911	10/24/00	Zhilyaev			
	AI	6,138,115	10/24/00	Agrawal et al.			
	AJ	6,151,565	11/21/00	Lobley et al.			
	AK	6,161,130	12/12/00	Horvitz et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
		CA	Battiti, R. et al., "Democracy in Neural Nets: Voting Schemes for Classification", <u>Neural Networks</u> , Vol. 7, No. 4, pp. 691-707 (1994)				
		CB	Cotter, P. et al., "PTV: Intelligent Personalised TV Guides", <u>Seventeenth National Conference on Artificial Intelligence</u> , pp. 957-964, (2000)				
		CC	Kittl, J. et al., "Combining Classifiers", <u>13th International Conference on Pattern Recognition</u> , Vol. II, Track B, pp. 897-901 (1996)				
EXAMINER				DATE CONSIDERED			
<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							